Probabilistic Collaborative Filtering with Negative Cross Entropy Alejandro Bellogín^{1,3}, Javier Parapar², Pablo Castells³ *alejandro.bellogin@uam.es, javierparapar@udc.es, pablo.castells@uam.es* ¹Information Access, Centrum Wiskunde & Informatica ²Information Retrieval Lab, University of A Coruña ³Information Retrieval Group, Universidad Autónoma de Madrid



Introduction

 Neighbourhood identification in memory-based CF algorithms is based on selecting those users who are most similar to the active user according to a certain similarity metric.

Relevance Modelling for Recommendation

We decompose the rating prediction task $\hat{r}(u, i) = C \sum_{v \in N_k(u)} \sin(u, v) r(v, i)$ (*) as:

1. We compute a relevance model for each user in order to capture how relevant any other user would be as a potential neighbour.

Probability of a neighbour v under the relevance model R_u for a given user u:

 $p(v|R_u) = \sum p(i)p(v|i) \prod p(i|i)$ (1)

- **Hypothesis:** neighbour-based CF techniques may be improved by using Relevance Models (RM) from Information Retrieval (IR) to identify such neighbourhoods (and also to weight them).
- Experiments: a relevance-based language model has been introduced into a neighbour-based CF algorithm which outperforms other standard techniques in terms of ranking precision. Further improvements are achieved when we use a complete probabilistic representation of the problem.

$$P(c|Icu) \qquad \sum_{i \in PRS(u)} P(c|c) + \prod_{j \in I(u)} P(j|c) \qquad (1)$$

where p(i) is the probability of the item *i* in the collection, p(v|i) is the probability of the neighbour *v* given the item *i*, and p(i|j) is the conditional probability of item *i* given another item *j*. I(u) corresponds to the set of items rated by user *u*, and $PRS(u) \subset I(u)$ is the subset of items rated by *u* above some specific threshold.

2. Then we replace the rating prediction by weighted average in CF with the negative cross entropy (from IR) to incorporate the information learnt from the RM:

$$\hat{r}(u,i) = H(p(\cdot|R_u); p(\cdot|i)|N_k(u)) = \sum_{v \in N_k(u)} p(v|R_u) \log p(v|i)$$
(2)

Notation: RMUB: Eqs. (1) + (*) and RMCE: Eqs. (1) + (2)

Experiments and Results

Evaluation methodology: *TestItems* [1] (for each user a ranking is generated by predicting a score for every item in the test set). **Baselines:** *UB* (user-based CF with Pearson's correlation as similarity measure), NC+P (Normalised Cut (NC) with Pearson similarity [2]) (better performing than a matrix factorization algorithm), UIR (relevance model for log-based CF [6]), URM (rating-based probability estimations [7]).



Evolution of the performance of the compared methods in terms of P@5 when varying k on the MovieLens 100K collection.

MovieLens 100K

| Method | P@5 | nDCG@5 | nDCG@10 | P@50 | cvg |
|--------|----------------|--------------------------------|--------------------------------|---------------|--------------|
| UB | 0.049^{cd} | 0.041^{cd} | 0.047^{cd} | 0.056^{ce} | 100% |
| NC+P | 0.111^{acde} | 0.097^{acde} | 0.095^{acde} | 0.058^{ce} | 83% |
| UIR | 0.004 | 0.002 | 0.002 | 0.002 | 100% |
| URM | 0.005 | 0.003 | 0.018 | 0.054^{ce} | 100% |
| RMUB | 0.081^{acd} | 0.064^{acd} | 0.062^{acd} | 0.050^{c} | 60% |
| RMCE | 0.224^{abcd} | e 0.204 ^{abcd} | e 0.204 abcde | 0.138^{abc} | $^{de}100\%$ |

MovieLens 1M

| Method | P@5 | nDCG@5 | nDCG@10 | P@50 | cvg |
|--------|----------------|--------------------|---------------------------------|----------------|--------------|
| UB | 0.035^{cd} | 0.031^{cd} | 0.031^{cd} | 0.039^{cde} | 100% |
| NC+P | 0.037^{acd} | 0.033^{acd} | 0.036^{acd} | 0.048^{acde} | 99% |
| UIR | 0.001 | 0.001 | 0.001 | 0.001 | 100% |
| URM | 0.001 | 0.001 | 0.006 | 0.034^{c} | 100% |
| RMUB | 0.075^{abcd} | 0.061^{abcd} | 0.057^{abcd} | 0.038^{c} | 41.4% |
| RMCE | 0.187^{abcd} | $^{e}0.176^{abcd}$ | e 0.168 ^{abcde} | 0.108^{abcd} | $^{e}~100\%$ |

Summary of comparative effectiveness



Conclusions

- *RMUB* outperforms other state-of-the-art approaches but is not optimal.
- The complete probabilistic model (*RMCE*) achieves even larger improvements.
- Improvement in performance are consistent across different datasets

We have also produced different mappings the involved variables [5], nevertheless, more research is still needed on this point.

References

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